

United States Patent Application for

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**CERIUM OXIDE NANOPARTICLES AND USE IN ENHANCING CELL SURVIVABILITY**

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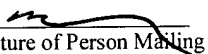
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Attorney's Docket No. UCF-375

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## **CERIUM OXIDE NANOPARTICLES AND USE IN ENHANCING CELL SURVIVABILITY**

5 This invention claims the benefit of priority from U.S. provisional application  
60/408,275 filed September 5, 2002, and this invention was supported in part by the  
National Institute of Health (NIH), National Institute of Neurological Disorders and  
Stroke grant #NS40490 to B. Rzigalinski, and NIH National Institute of Aging grant  
#AG22617 to B. Rzigalinski. and National Science Foundation (NSF) grant number  
EEC: 0136710 to S. Seal.

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### **FIELD OF THE INVENTION**

This invention relates to novel cerium oxide nanoparticles and in particular to their  
use in the enhancement of survivability of biological cells, and methods of forming the  
nanoparticles.

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### **BACKGROUND OF THE INVENTION**

Earlier sol gel derived ceria nanoparticles between 0-100 nm are known, but it  
was very hard to achieve a stable suspension of non agglomerated particles. Particle  
agglomeration decreases the surface area of nanoparticles and may render them  
20 dysfunctional in some applications. For example, particles synthesized at 2-10 nm may  
agglomerate or clump, into particles with effective sizes much larger, thereby defeating  
the purpose of harnessing nanomaterial properties. Also, larger agglomerated particles  
appear to be unable to enter the cell, thereby losing their biological activity. Some oxide  
nanoparticles with sizes less than 10 nm may have a considerable amount of oxygen

defects in their lattice structure which may be responsible for free radical scavenging The present invention provides novel nonagglomerated engineered, ultra fine cerium oxide nanoparticles of the size of 2-10 nm .

5 It is a novel finding that exposure of the engineered, non-agglomerated ultra fine cerium oxide nanoparticles of 2-10 nm size of this invention to cells, enhances their lifespan in culture by acting as a regenerative free radical scavenger. Furthermore, these particles also have potent anti-inflammatory properties.

#### SUMMARY OF THE INVENTION

10 The first objective of this invention is to provide novel engineered cerium oxide nanoparticles.

The second objective of this invention is to provide a novel method for producing cerium oxide nanoparticles.

15 The third objective of the invention is to provide a method and composition for enhancing the longevity of living cells.

The fourth objective of the invention is to provide a method and composition for promoting wound healing.

The fifth objective of the invention is to provide a method and composition for treating arthritis and joint diseases.

20 The sixth objective of the invention is to provide an anti-aging treatment

The seventh objective of the invention is to provide a method and composition for treating inflammation.

The eighth objective of the invention is to provide surgical implants and dressings coated with Cerium oxide nanoparticles.

The invention encompasses nonagglomerated, ultra fine, engineered Cerium Oxide nanoparticles of the size approximately 2 to approximately 10 nm with high biological activity. Applications of the novel nanoparticles include surgical dressings, implants with the nanoparticles, and applications for wound healing, treating arthritis and joint diseases, 5 anti-aging and the treating of inflammations.

A novel method for preparing Cerium Oxide nanoparticles of the size approximately 2 to approximately 10 nm can include the steps of dissolving approximately 0.5 to approximately 1.0 grams of  $\text{Ce}(\text{NO}_3)_3 \cdot 6\text{H}_2\text{O}$  in deionized water to make approximately 10 mls of solution to form a first solution, followed by dissolving 10 approximately 3 to approximately 4 grams of AOT(Na bis(ethylhexyl)sulphosuccinate ) in approximately 200 ml of solvent to form a second solution, followed by combining the first and the second solutions, followed by stirring the combined solutions for approximately 30 minutes, and drop wise adding approximately 30%  $\text{H}_2\text{O}_2$  until the stirred combined solution becomes yellow, and subsequently stirring for approximately 15 30 to approximately 60 minutes. Additionally, the method can include adding NaOH or  $\text{NH}_4\text{OH}$  instead of  $\text{H}_2\text{O}_2$ .

Further objects and advantages of this invention will be apparent from the following detailed description of the presently preferred embodiments which are illustrated schematically in the accompanying drawings.

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#### BRIEF DESCRIPTION OF THE FIGURES

Fig 1 demonstrates the enhancement of longevity of brain cells in culture.

Fig 2 shows neurons treated with Cerium Oxide nanoparticles display normal signaling functions.

Fig 3 demonstrates that nanoparticles decrease free radical-mediated injury.

Fig. 4 shows nanoparticles decrease cell injury associated with exposure to UV light.

Fig .5 shows approximately 2 to approximately 10 nm Cerium Oxide nanoparticles in solution.

5 Fig. 6a shows cell cultures without nanoparticles.

Fig. 6b shows cell cultures with nanoparticles.

Fig. 7 shows that cerium oxide nanoparticles decrease brain cell injury after trauma.

#### DESCRIPTION OF PREFERRED EMBODIMENTS

10 Before explaining the disclosed embodiments of the present invention in detail it is to be understood that the invention is not limited in its applications to the details of the particular arrangements shown since the invention is capable of other embodiments. Also, the terminology used herein is for the purpose of description and not of limitation.

15 The nanoparticles of the invention can be produced by a unique method. Nanoparticles of approximately 2 to approximately 10 nm are synthesized by a novel sol micro emulsion process to create nano reactors where the nanoparticles are synthesized.. Importantly, the synthesis protocol renders the resulting particles with oxygen vacancies in their lattice structures where they have high biological activity as free radical  
20 scavengers. Their structure and mixed valence states also permits regeneration of the particles once a radical scavenging event has occurred, making them biologically available for multiple rounds of radical scavenging. This permits single doses of nanoparticles to remain active in the cell for long time periods. In contrast, most commonly available free radical scavengers such as vitamin E, nitrosone compounds, and

vitamin C are inactivated after scavenging 1 free radical.

Nanoparticles produced by the unique chemical routes ranging from approximately 2 to approximately 10 nm were added to rat brain cell cultures in a single dose on day 2-10 in vitro, causing the cells to survive approximately 3-4 times longer than cells without nanoparticles, as demonstrated in Fig 1. Additional experiments not shown in Fig. 1 have demonstrated that a single dose of cerium oxide nanoparticles produced in the method described above extended the life of cultured neurons from 28 days for untreated cells, to 182 days (6 months) for neurons treated with nanoparticles. Of critical importance was the fact that these aged neurons in all cases, were functional in signaling to one another and had active synaptic connections similar to young cultured neurons (Fig. 2).

Furthermore, they responded to the neurotransmitter glutamate, within the normal range of young, healthy, neurons.

Given the structure of the cerium oxide nanoparticles and their surrounding lattice, it was hypothesized that the nanoparticles increased longevity by reducing the free radical damage to lipids, proteins, RNA and DNA, commonly associated with aging. To test this hypothesis, tissue cultured brain cells were exposed to a lethal dose of a free radical generating agent, hydrogen peroxide, and viability measured after a 1 hour exposure. Hydrogen peroxide exposure resulted in a dramatic decrease in viability. However exposure to cerium oxide nanoparticles afforded considerable protection to free radical induced cell death as demonstrated in Fig 3. Additional experiments were conducted with a known free radical producing agent, ultraviolet light. Again, pretreatment of mixed brain cells cultures with cerium oxide nanoparticles decreased injury by over 60% (Fig. 4).

Electron micrographs of approximately 2 to approximately 10 nm cerium oxide

nanoparticles in solution are shown in Fig. 5 below. Additional electron microscopic studies were performed, to examine the location of nanoparticles in the cell cultures. As shown in Figures 6a and 6b, nanoparticles appear to be in the same focal plane as cellular organelles. In many cases, nanoparticles appeared to be in or near mitochondria, a site of high production of free radicals.

The data indicates that specifically engineered cerium oxide nanoparticles, produced by a process that enables biological activity, of a size of approximately 2 to approximately 10 nm can increase the longevity of cells in culture, possibly by acting as regenerative free radical scavengers. Vitamins E and C, and drugs such as polyethylene-glycol conjugated superoxide dismutase(Peg-SOD), have been tested as free radical scavengers to reduce injury and promote cell longevity. However neither of these compounds produces the dramatic effects observed with nanoparticles. Additionally, the nanoparticles of this invention are reported to be relatively inert in the body, with low toxicity. Tail vein injections of 0.3 mM nanoparticles (100 ul volume) produced no toxic effects. Therefore, this technology can provide significant improvement to medical conditions, as detailed below:

Wound Healing and Implants: Free radical damage is implicated in wound healing, and destruction of healthy tissue by free radicals generated during the inflammatory response is common. Nanoparticle coated implants or wound coatings can accelerate healing and prevent free radical damage to tissues.

Arthritis, Joint Disease: Free radicals produced by inflammatory processes are key components of tissue damage in arthritis and joint disease. The experiments indicate that

cerium oxide nanoparticles dramatically reduced the inflammatory response in vitro. In inflammatory disease, healthy tissue damage often results from the by-products of inflammatory cells such as macrophages, partially through production of free radical species. In cultured inflammatory macrophage-like cells, cerium oxide nanoparticles  
5 reduced the inflammatory activation state and prevented bystander cell damage to healthy cells. Nanoparticle-coated metal/composite implants, or joint replacement material coated with nanoparticles, can substantially reduce tissue loss and damage in arthritis and other joint disease.

10 Vascular Disease: Vascular grafting with artificial vessels and stents is becoming increasingly common. However, a major reason for failure of vascular stents lies in the inflammatory reaction that these devices generate. Once again, a major component of initiation and propagation of the inflammatory response lies in production of free radicals and ensuing normal tissue damage. Coating of stents and other vascular replacements  
15 with cerium oxide nanoparticles can again decrease free radical damage commonly associated with vascular disease and reduce the inflammatory response.

Aging: A prevalent theory of aging is that accumulation of free radicals occurs, accompanied by a decline in the body's natural free radical reducing machinery.  
20 Engineered cerium oxide nanoparticles prepared by the present process results in high biological activity and can provide remediation for the increase in free radical damage associated with tissue aging and thereby reduce age-related functional disorders.

Stroke and Traumatic Brain Injury: After traumatic brain injury and stroke, published



reports indicate that a significant portion of tissue damage occurs due to production of free radicals . In vivo, brain cell death is often not evident until 24-48 hrs post injury (talk & die syndrome). The Rzigalinski lab routinely performs studies using a well-published in vitro model of traumatic brain injury, in which they have demonstrated a) 5 Neuronal death 24 hr post injury and b) free radical production. Our engineered cerium oxide nanoparticles, when given to brain cells on day 2-10 in vitro, protected neurons from cell death associated with in vitro trauma. However, in real-world trauma or stroke, there is no opportunity to pre-treat individuals. Therefore, experiments were conducted to test whether nanoparticles could improve outcome if administered AFTER injury. As 10 shown in Fig. 7, administration of engineered cerium oxide nanoparticles 1 hr post injury resulted in a significant reduction of cell death observed 24 hr after injury.

The Cerium Oxide nanoparticles of the invention can be administered to patients via oral pharmaceutical composition, or by intravenous injections or intrathecal delivery.

15 The following example is provided for the purpose of illustration and not limitation  
Example 1

The nanoparticles of the invention are prepared as follows:: A stable nano ceria sol is prepared using a micro emulsion technique. The principal of the micro emulsion technique involves the addition of a non-polar chemical (AOT) Na 20 bis(ethylhexyl)sulphosuccinate) (also known as docusate sodium )which, when mixed with aqueous medium containing the cerium, forms a micro-reaction vessel. Thus, the AOT forms a micelle around the cerium particles, and the nanoparticle forms and grows with the surfactant shell. This process can be controlled to produce small (less than 10 nm) particles. By synthesis in the surfactant shell (technically termed reverse micelle) .

small sized particles are prevented from agglomerating in larger particles, with subsequent loss of activity. Specifically, 0.5-1.0 gm of  $\text{Ce}(\text{NO}_3)_3 \cdot 6\text{H}_2\text{O}$  is dissolved in deionized water to make 10 ml of solution. In a separate beaker 3-4 gm of AOT(Na bis(ethylhexyl)sulphosuccinate) is dissolved in 200 ml of toluene solvent. The cerium solution is added, and the emulsion is stirred for 30 minutes. Next, 30 ml of 30%  $\text{H}_2\text{O}_2$  is added drop by drop. The solution turns yellow as soon as  $\text{H}_2\text{O}_2$  is added and becomes deep as the reaction proceeds, taking approximately 30 to approximately 60 minutes to finish. The particles are approximately 2 to approximately 5 nm in this stable sol and the size can range from approximately 2 to approximately 10 nm. . (Instead of  $\text{H}_2\text{O}_2$ , one can use NaOH or  $\text{NH}_4\text{OH}$  for synthesis of cerium oxide nanoparticles.) For biological delivery, an approximately 0.1M solution was dried under nitrogen and resuspended in water to achieve the desired concentrations.

While the invention has been described, disclosed, illustrated and shown in various terms of certain embodiments or modifications which it has presumed in practice, the scope of the invention is not intended to be, nor should it be deemed to be, limited thereby and such other modifications or embodiments as may be suggested by the teachings herein are particularly reserved especially as they fall within the breadth and scope of the claims here appended.